### Apprenticeship Certificates England

### ACCEPTABLE EVIDENCE

### **GUIDANCE NOTES**

September 2014 – Version 2

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# **Introduction**

The purpose of this document is to provide you with guidance relating to the types of evidence that can be accepted as part of an English Apprenticeship Completion Certificate claim.

Whilst we would always recommend that actual completion certificates are used as evidence of a learner's achievement we do acknowledge that, for a variety of reasons, these are not always available. In these circumstances, there are alternative forms of evidence that can also be accepted. This guidance document gives examples of these and some general principles to follow when submitting achievement evidence on ACE, as part of an English Apprenticeship Completion Certificate claim.

This document provides some examples of evidence that are acceptable and provides information which explains why they are acceptable. Each evidence example has had the key data highlighted so that you can see clearly what you need to be looking for. These are the key pieces of information that individual Certification Bodies\* will look for when checking Apprenticeship Completion Certificate claim. The minimum data requirements, irrespective of the type of evidence being used, are listed below. It may be that the evidence you submit incorporates additional data, above and beyond our minimum stated requirements, and this is valuable as it provides further ways in which the evidence can be validated and cross checked with the learn er details on ACE.

The minimum data fields that we require to be clearly visible on all submitted evidence are:

- Full name of the learner
- Qualification name
- Level /Grade achieved (However, please note that this is **ONLY** required where achievement of a **specific grade or level** is an explicit Apprenticeship requirement)
- Date of achievement/award
- Awarding Organisation identifier (i.e. logo/web address)
   Please note that some older PLR reports may not have this information included however, those from September 2013 should identify the Awarding Organisation.

These minimum requirements have been highlighted in red in each of the bulleted lists that appears on each of the evidence examples included within this document.

It is important that any evidence you submit on ACE meets these **minimum** requirements. All of the examples of evidence in this document have these key data requirements identified, in addition to other key data that can be used when checking and validating evidence. Please note

that we are now able to accept a range of electronic reports/screen shots and statements of results that are readily available to download from a number of online Awarding Organisation databases, Personal Learner Records (PLR) and e-certificates.

\*<u>Certification Bodies</u>: - The Federation sits at the centre of a hub and spoke model where it delegates responsibility to individual Certification Bodies (Sector Skills Councils and Standard Setting Bodies) to process Apprenticeship Completion Certificate claim requests, on its behalf. Each Certification Body has specific sectorial remits and uses its knowledge of its occupational area to review claims and ensure that the evidence provided meets the specified framework requirements being claimed for. The Federation's Apprenticeship Services Team oversees and manages the entire process, and produces guidance and supporting documentation for both Certification Bodies and Apprenticeship Completion Certificate claimants.

### *Further information on the current requirements of the process for claiming an Apprenticeship Completion Certificate for England can be found on the <u>ACE website</u>.*

#### How do I make a successful claim on ACE?

As a first step, you need to identify the correct Apprenticeship framework document for each learner. All of the current, and archived, Apprenticeship framework documents for England can be found online in the <u>Apprenticeship Frameworks Online library</u> (AFO). The library is easily searchable and copies of framework documents can be downloaded and printed off for reference.

The specific framework document required for each Apprentice will depend on their start date. Please make sure that you check the issue date for each framework to ensure that you are referring to the appropriate one.

If you have any questions, or are unsure as to the appropriate Apprenticeship framework document you should be referring to, then please contact the appropriate Certification Body. Each framework will have details of the Issuing Authority responsible for the framework and will also give the relevant contact details. In addition, all of the Certification Body contact details are available on the <u>ACE website</u>.

Each framework document contains information on all the components that are required for the successful completion of each Apprenticeship pathway. Evidence of achievement of all these components will be required when submitting a claim on ACE for an Apprenticeship Completion Certificate.

All evidence submitted needs to meet the minimum criteria as detailed in this guidance document.

#### What evidence is not acceptable?

As a rule of thumb;

- Any form of evidence that states it is **provisional** will not be accepted as it doesn't constitute robust evidence of a learner's achievement. Provisional results can be subject to change, prior to final awards being made, and therefore cannot be relied upon as being accurate.
- Any form of evidence that does not meet the minimum requirements, as specified in this document will be unacceptable and result in an Apprenticeship Completion Certificate claim being rejected.

#### What formats of evidence are acceptable?

Any evidence that is uploaded to ACE must be of a good quality with all of the required data **clearly visible**. The following commonly used file formats are all acceptable and are ones that we can guarantee can be opened by Certification Bodies:-

- \*.PDF
- \*.JPEG
- \*.DOC
- \*.TIF
- \*.PNG
- \*.ZIP

Other formats can be uploaded to ACE but there could be an issue for Certification Bodies in opening evidence that is in an unusual file format.

Please note there is a 3MB file size limit and it may be necessary for files to be compressed prior to being uploaded to ACE.

On any evidence that contains the details of more than one qualification (e.g. a learner's PLR) then please clearly indicate which specific entry, or entries, are being submitted as achievement evidence.

Evidence should be uploaded to the correct evidence placeholder on the ACE Evidence Tab.

Any single file that is to be used as evidence for more than one Apprenticeship component should have the correct tick boxes selected when the file is uploaded. This will ensure that a copy will be accessible from each of the selected component placeholders on the ACE Evidence Tab.

# **Acceptable Evidence Types**

The remainder of this guidance document includes examples of the most common types of evidence that can be accepted. In addition to actual achievement certificates, this also includes a range of reports and screen shots. This document includes actual examples of the evidence itself and each has been annotated to indicate what information, **as a minimum**, must be included on each of the different types of evidence that you can submit as part of an Apprenticeship Completion Certificate claim on ACE.

Please note that the key required data fields on each evidence sample has a red box drawn around it, to assist you in locating the required information as positions vary, depending on the type of evidence being used. All of the red boxes are numbered which relates to the individual numbers in the bulleted list of all of the required data fields which have been added to each of the evidence samples.

If you have achievement evidence that is not in a format either the same, or similar, to those included in this guidance document then this does **not** imply that it will not be acceptable. We have endeavoured to include examples of the most commonly submitted evidence types but we are aware that our sample range is not exhaustive.

If you have an alternative form of evidence, that doesn't appear in this document, then please apply the general principles as to what data is required to be present. You can use the examples within this document as a guide to the general principles as to what is required.

### *If you remain unsure as to whether or not the evidence you have will be acceptable, then please contact your <u>Certification Body</u> for further advice and guidance.*

<u>Please note</u>: For data protection reasons, each piece of sample evidence used in this document has had some of its data amended or obscured. However, all of this data must be fully present and clearly readable on any of the evidence that you submit as part of an Apprenticeship Completion Certificate claim.

#### Help us to help you!

We will be continually reviewing and developing this guidance document and would really appreciate your feedback and help to do this. If you have an example of learner achievement evidence that is not currently included within this document, but you believe it would be acceptable based on the principles outlined in this document, then please send us a copy for our consideration. All evidence examples for review and consideration to be sent to <u>ace@fisss.org</u>.

# Personal Learning Record (PLR)

# **Information & Examples**

Acceptable Evidence Guidance document September 2014 © FISSS

# **Personal Learning Record (PLR)**

#### <u>Background</u>

The Learning Record Service (LRS) database is an online application that was introduced in 2007/08 and can only be accessed by registered users. It is a free service and you can easily register for an account (\*see details below). The LRS database records the qualification achievements of individual learners at schools, colleges or other further education training establishments. Each learner has a Personal Learning Record (PLR). Please note that PLRs do not typically record Higher Education qualifications achieved at a University.

PLRs are populated by a range of Awarding Organisations. A list of all those currently registered and using LRS can be found on pages 12 and 13.

Individual learners can also use the LRS database to access their own current and past achievement record and they can also opt to allow their records to be shared with their training provider. However, not all learners give permission for their PLR to be shared and, in these cases, the use of a PLR as a learner's achievement evidence would not be available to you.

#### Getting access to the LRS database

\* In order to start to use the LRS database, you will require a UK Provider Reference Number (UKPRN). If you do not already have a UKPRN then please register on the <u>UK Register of Learning</u> <u>Providers</u> website.

Once you have a UKPRN you can register with the <u>Learning Records Service</u> and start to access PLRs for individual learners.

If you have any questions in relation to this process then please contact the <u>Learning Records</u> <u>Service</u> directly.

#### PLR Limitations

A learner's PLR should always be considered as an alternative source of achievement evidence in cases where actual achievement certificates are not available.

However, historically, the data that was uploaded to PLRs by Awarding Organisations was not consistent, standardised or quality assured. There were no minimum requirements set and this has led to a situation where the quality of some of the historic data that has been input varies considerably. Therefore, as a result of this, not all PLRs will fully meet our minimum requirements as they may be missing key data fields.

If the evidence you have from a PLR does not contain the **minimum** information requirements then it will not be acceptable and you will need to provide an alternative type of evidence to confirm a learner's achievement.

#### Understanding a Personal Learning Record (PLR)

There are various reports and screen shots available within each PLR. However, irrespective of the one that is used it must, as a **minimum**, contain the following information:-

- Learner's full name (Forename and Surname)
- Unique Learner Number
- Learner's Date of Birth
- For GCSE Provider or Awarding Organisation name, Qualification Type, Aim Description (QAN number), Grade achieved and Award date\*.
- For Key Skills Name of Key Skill, Level, Pass and Award date\*
- For Functional Skills Name of Functional Skill, Level, Pass and Award date\*
- For competence/knowledge qualifications Provider or Awarding Organisation name, Level, Qualification Type, Aim Description (QAN number), Pass and Award date\*

\*All qualifications must show an <u>AWARD</u> date to be accepted as robust achievement evidence. As a mandatory requirement, Awarding Organisations were required to provide qualification Award Dates from September 2013. Prior to this date not all Awarding Organisations did provide this information on PLRs. Therefore, any PLR reports with qualification information dating prior to September 2013 <u>may not</u> have the required Award Date information and cannot be accepted as robust achievement evidence and an alternative evidence type should be submitted.

### If any of this required information is missing then use of the PLR will not be possible and alternative, acceptable evidence of learner achievement should be sourced.

The PLR examples included in this document show the range of reports/screen shots that you can obtain from the LRS database and can use as acceptable evidence for an Apprenticeship

Completion Certificate claim. The LRS database was upgraded in summer 2014 and, as a result, the formatting of PLR reports and screen shots has slightly altered. The older printouts and screen shots will continue to be accepted as long as they meet the minimum specified data requirements.

<u>Please note</u>: If the PLR you are using contains the details of **multiple** achievements, please indicate **clearly** which specific entry/entries you want to be considered as achievement evidence.

If you use a highlighter pen to mark achievement entries then you **must check** that the definition of this highlighting is not lost when documents are subsequently copied and/or scanned and uploaded to ACE. As an alternative, you can either clearly indicate the individual entries with an asterix or by drawing a neat line around the relevant entry/entries.

Alternatively, some PDF documents can be opened in Adobe Acrobat and the Highlighter Tool used to mark text and then this highlighting can be saved with the file. It is worth checking if you have the facility to do this.

#### To add highlighting to text using Adobe Acrobat Reader:

- Choose View > Comment > Annotations and select the Highlight Text tool
- Drag from the beginning of the text you want to mark up. Control-drag to mark up a rectangular area of text. This feature is especially useful when you are marking up text in a column.

#### <u>The following two pages list alphabetically the Awarding Organisations currently using the LRS</u> <u>Database</u>

1st 4Sport	В	Chartered Institute of Personnel and Development	F
Α	BIIAB	Chartered Institute of Purchasing and Supply	First Aid Awards Ltd
ABC Awards	BPEC Certification Ltd	Chartered Insurance Institute	Focus Awarding Limited
Accrediting and Assessment Bureau Post Secondary Schools	British Ballet Organisation	Chartered Management Institute (CMI)	Food and Drink Qualifications (FDQ)
Active IQ	British Canoe Union	СІВТАС	Future (Awards and Qualifications) Ltd
Agored Cymru	British Driving Society	City & Guilds of London Institute	G
AIM Awards (Previously Open College Network East Midlands Region)	British Horseracing Education and Standards Trust	City College Norwich Qualifications	Gemmological Association
Amateur Swimming Association	British Institute of Cleaning Science	Confederation of Tourism & Hospitality	Glass Qualifications Authority
AoFA Qualifications	British Institute of Facilities Management	Council for Awards in Care, Health and Education (CACHE)	Graded Qualifications Alliance (GQAL_
Ascentis	British Safety Council	Counselling and Psychotherapy Central Awarding Body	Н
ASDAN	British Wheel of Yoga Qualifications	Cskills (ConstructionSkills)	Highfield Awarding Body for Compliance
Assessment and Qualifications Alliance (AQA)	С	D	I
Associated Board of the Royal Schools of Music	CABWI Awarding Body	Defence	i Can Qualifications Limited
Association of Accounting Technicians	Cambridge International Examinations	E	IAB (International Association of Book-Keepers)
Association of Business Executives	Central Qualifications	Edexcel (Pearson)	IFS School of Finance
Association of Business Managers and Administrators	Central YMCA Qualifications	EDI	ILEX Chartered Institute of Legal Executives
Association of Chartered Certified Accountants	Chartered Institute for Securities & Investment	EMTA Awards Limited (EAL)	ILM (City & Guilds)
Association of International Accountants	Chartered Institute of Building	Engineering Construction Industry Training Board	Imperial Society of Teachers of Dancing
ATHE Limited	Chartered Institute of Environmental Health	English Speaking Board (International) Ltd	Industry Qualifications
Awarding Body for the Built Environment	Chartered Institute of Housing	Equestrian Qualifications Limited	Institute of Animal Technology
Awarding Body for Vocational Achievement Ltd	Chartered Institute of Logistics and Transport in the UK	ETC Awards Limited	Institute of British Sign Language

Institute of Credit Management	М	Р	Sports Leaders UK
Institute of Export	McDonalds	PAA\VQSET	Swimming Teachers Association (Safety Training Awards)
Institute of Hospitality	Mineral Products Qualifications Council	Packaging Industry Awarding Body Company	т
Institute of Operations Management	N	Prince's Trust	The British Computer Society (BCS, The Chartered Institute for IT)
Institute of qualified lifeguards	NALP (National Association of Licensed Paralegals	Pro Qual Awarding Body	The Institute of Financial Accountants
Institute of Revenues Rating and Valuation	National Federation of Property Professionals	Q	The Learning Machine
Institute of Sales and Marketing Management	NCC Education Services	QUALIFI Ltd	Training Qualifications UK
Institute of the Motor Industry (IMI Awards Ltd)	NCFE	Qualifications Network	Trinity College London
International Dance Teachers Association	NCTJ Training	Qualsafe Awards	U
IoL Educational Trust (formely Chartered Institute of Linguists)	NOCN (National Open College Network)	R	University of Cambridge ESOL Examinations
ITC First Aid Ltd	0	Recruitment & Employment Confederation	University of the Arts London (UAL)
ITEC (Education & Media Studies)	Open College Network Eastern Region trading as Gateway Qualifications	Rockschool	University of West London
К	OCN London	Royal Academy of Dance	V
Kaplan Professional Awards	OCN West Midlands Region	Royal Horticultural Society	VTCT
L	OCR	Royal Society for Public Health (RSPH)	w
LAMDA Examinations	Open Awards (Previously OCN NWR/ OCN North West Region)	S	Walsall College/ (Accredited Skills for Industry (ASFI)
Lantra Awards	Open College Network Northern Ireland	Scottish Qualifications Authority trading as SQA	WAMITAB
Laser (OCN South East Region)	Open College Network South West Region Ltd	SFEDI Enterprises Ltd. T/A SFEDI Awards	WJEC CBAC
Lifetime Awarding	Open College Network Yorkshire and Humber Region	Signature (CACDP)	Worshipful Company of Farriers
Logic Certification Limited	Organisation for Tourism and Hospitality Management	Skills for Justice [SFJ] Awards	Worshipful Company of Spectacle Makers
		Skillsfirst Awards	WSET Awards

View learner profile

#### **View Personal Learning Record**

View Personal Learning Record

1

All QCF credits and units 1-19 of 19 results							
Subject 🗸	Level 🔻	Grade 🔻	Credits 🔻	Source 🔻	Start date 🔻	End date 🔻	Award date 🔻
Generic code to identify ILR programme aims		NA		ILR	15 Mar 2013	18 Mar 2014	18 Mar 2014
Certificate in The Principles of Fencing (QCF)	Level 2	NA		ILR	15 Mar 2013	18 Mar 2014	18 Mar 2014
Establish lines and levels on site	Level 2		4	AO			18 Mar 2014
Excavate foundations for fencing	Level 2		5	AO			18 Mar 2014
Lantra Awards Level 2 Diploma In Level 2 Diploma in Work-based Fencing (QCF)	Level 2		44	AO			18 Mar 2014
Locate and identify services	Level 2		3	AO			18 Mar 2014
Monitoring and maintaining health and safety	Level 2		3	AO			18 Mar 2014
Place and fix fence posts	Level 2		3	AO			18 Mar 2014
Place and fix fencing components	Level 2		12	AO			18 Mar 2014
Prepare and operate hand held power tools and attachments	Level 2		5	AO			18 Mar 2014
Receive handle and store fencing materials on-site	Level 2		4	AO			18 Mar 2014
Reinstate defective fencing	Level 2		5	AO			18 Mar 2014
Abrasive Wheel Operations	Level 2		2	AO			22 Nov 2013
Lantra Awards Level 2 Certificate in The Principles of Fencing (QCF)	Level 2		15	AO			22 Nov 2013
Understanding Health and Safety within Land-Based Activities	Level 2		3	AO			22 Nov 2013
Use and maintain non-powered and hand held powered tools and equipment	Level 2		3	AO			22 Nov 2013
Functional Skills qualification in English	Level 1	NA		ILR	15 Mar 2013	20 Nov 2013	20 Nov 2013
Functional Skills qualification in Mathematics	Level 1	NA		ILR	15 Mar 2013	07 Nov 2013	07 Nov 2013
Diploma in Work-based Fencing 2 )	Level 2	NA Not Ap 3 ple/ Not Known		ILR	15 Mar 2013		4

ULN: 53

5

Create a report

#### 1-19 of 19 results

What does source mean?

The PLR may display duplicate instances of learning events as events may be reported from different sources.

This PLR screen shot evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name type and level
- 3. Grade achieved
- 4. Date of achievement/award
- 5. ULN
- 6. DOB

6

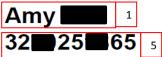
Why report a problem?

Find a QCF qualification

Report a problem

File Edit View Favorites Tools Help	☆ ☆
🙀 🥭 Login Page - eAssessor ye Yammer 🖺 breatheHR 📔 Pearson Secure File Transfer 🤁 Telegraph Fantasy Cricket 🗿 Gregg Moreton (appteam 🧿 ACE (v 1 🔧 Google 🛐 SharePoint 🗿 MIAP - UK Learner Registr	
Help Contact us My account Log out HM Government Org ID: 1005 Learner Management Achievement Management Management	
You are here: Welcome > View Personal Learning Record > View Learner Event	
Viewing learner: Philip     1     ULN: 5188     6     Date of birth: 28       View learner profile     View Personal Learning Record     Create a report     Find a QCF qualification	
Learner Management	
Find a Learner     View Personal Learning Record       Diploma in Civil Engineering (QCF)     About this Qualification       3     Grade     PA Pass     2     Qualification type     Diploma	
Status     Final     9     Level     Level 3       Under data challenge     No     Number     50055343     7       1. Full name of the learner     Start date     10 Jan 2011     Owning organisation     7	E
2. Qualification name, type and level       15 Jul 2013       Sector subject area       Construction Planning and the Built         3. Grade achieved       4 Award date       15 Jul 2013       Environment         4. Date of achievement/award       Provider       National Construction College       8         5. Awarding organisation identifier       Awarding body       CITB Construction Skills       Minimum guided learning       0         6. Unique Learner Number       About this record       Where can I find out more about this gualification?       Where can I find out more about this gualification?	
6. Unique Learner Number       About this record       Where can I find out more about this qualification?         7. QAN Number       Date recorded       30 May 2014         8. Provider       Last updated         9. Status – Final       Source         10. DOB       ILR	
Help   Contact us   Terms and conditions   Privacy policy © 2010 The Skills Funding Agency is an agency of the Department for Business, Innovation and Skills	

#### **Personal Learning Record**



#### Learning Events

Provider	Awarding Body	Туре	Aim Description	Start Date	End Date	Grade	Award Date
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in Design and Technology			A	01/07/2010
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in English A			D	01/07/2010
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in English Literature A			С	01/07/2010
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in Geography A			A	01/07/2010
OXFORD AND CHERWELL VALLEY COLLEGE	UNKNOWN	GCSE	Edexcel Level 1/Level 2 GCSE in Music			A	01/07/2010
DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS	UNKNOWN	GCSE	OCR Level 1/Level 2 GCSE in Science B			С	01/07/2010
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in Mathematics B (Modular)			С	01/07/2009
DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS	UNKNOWN	GCSE	OCR Level 1/Level 2 GCSE in Additional Science B	2		с <u>з</u>	01/07/2009 4
DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS	UNKNOWN	GCSE	OCR Level 1/Level 2 GCSE in Applied Business (Double Award)			E	01/07/2009
OXFORD AND CHERWELL VALLEY COLLEGE	Assessment and Qualifications Alliance	GCSE	AQA Level 1/Level 2 GCSE in Spanish B			С	01/01/2009

QCF Qua	alification A	chieveme	ents			R report evi ım requirem		
Provider	Awarding Body	Qualification Level	Title	Reference Number		Full name o		rner type and Level
	Accredited Skills for Industry	Level 3	ASFI Level 3 Award In The Operation and Colour Management of a Large Format Digital Printer for Signmaking and Associated Industries (QCF)		3.	Grade achi Date of acl Unique Lea	eved hievemen	it
	Ascentis	Entry Level	Ascentis Entry Level Award In IT User Skills (ITQ) (Entry 3) (QCF)		06/06/20	11	5	English

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#### **PROTECT - PERSONAL**

#### ew Personal Learning Record - LRS

Page 1 of 1

						Learn	
Log Out Welcome, Rebecca						Reco Serv	
Welcome User Management Find a Learner Register Learner		lanagemer Batch Regi		Account View Batch	Registration	View Exc	eptions
You are here: <u>Find learner</u> > View Personal Lea Viewing learner Bethany View learn	1	View Pers	sonal Learni	ULN: 72 ng Record	Create 6 por	Date of bir t Find a Q	th: 15 Ma
View Personal Learning Record							eport a problem
All QCF credits and units					Why report a p	oroblem?	
Subject	Level	Grade	Credit	Source	Start date	End date	Award date
Certificate in the Principles of Horse Care (QCF)	Level 2	NA		ILR	26/09/2011	26/07/2012	26/07/2012
AQA Level 1/Level 2 GCSE in Design and Technology: Product Design	Leve 2	B 3		NPD			01/07/2 4
AQA Level 1/Level 2 GCSE in English A	Level 1/2	D		NPD			01/07/2011
EDEXCEL Level 1/Level 2 GCSE in Mathematics A (Linear)	Level 1/2	c		NPD			01/07/2011
ICAAE Level 1/Level 2 GCSE in Business Studies B	Level 1/2	с		NPD			01/07/2011
OCR Level 1/Level 2 GCSE in Additional Science B	Level 1/2	С		NPD			01/07/2011
OCR Level 1/Level 2 GCSE in Science B	Level 1/2	D		NPD			01/07/2011
EDEXCEL Level 2 BTEC First Certificate in Performing Arts	Level 2	м		NPD			01/07/2011
EDEXCEL Level 2 BTEC First Certificate in Sport	Level 2	м		NPD			01/07/2011
OCR Level 2 National Award in ICT	Level 2	D		NPD			01/07/2011
					S	how 10 results	1 2 Next>>
What does source mean?							
This PLR print out evidence meets minimum requirements as it shows		ances of lear	ning events as	events may be	reported from diffe	erent sources.	
<ol> <li>Full name of the learner</li> <li>Qualification name, type and</li> </ol>	nd level						
<ol> <li>Grade achieved</li> <li>Date of achievement</li> <li>Awarding Organisation ide</li> <li>Unique Learner Number</li> <li>DOB</li> </ol>	ntifier	Help	Contact us	About the LR	S Terms & cond	ditions Privacy	policy Accessibility
8. Web Link							

ps://www.uklrs.miap.gov.uk/wps/myportal/!ut/p/c1/04\_SB8K8xLLM9MSSzPy8xBz9CP0os3hHM2P... 11/10/2012

8

			Lear Rec Ser	ords vice
g Out Welcome, Kate				
Welcome Lear	mer Management My Account			
	View Personal Learning Record > View Learner Eve	ULN: 402	Data af	birth: 27 Jul
Viewing learner: Em		nal Learning Record Create		QCF gualification
WJEC Level 1/Leve		About this Qualifica	tion	
Grade: Start date	<u> </u>	Qualification type:	GCSE Full Course	2
Finish date		Level:	Level 1/2	
Award date	4 01 2009 اینل 11	Number: Owning organisation:	5010	
Provider:	CENTRAL LANCASTER HIGH	Sector subject area:	Not Applicable	
	SCHOOL Welsh Joint Education Committee	Grading type: Minimum guided learning hours:	0	
Awarding Body:	Weish Joint Education Committee	nours.		
About this record:		Where ca	in I find out more about th	his qualification?
Date recorded	15 Jun 2010			
Recorded by:			Г	< Back to list
Last updated			L	S BACK to list
Updated by:				
Source:	NPD			

This PLR print out evidence meets the	About the LKS	Terms & conditions	Privacy policy	Accessibility
minimum requirements as it shows:				
1. Full name of the learner				
2. Qualification name, type and level				
3. Grade achieved	1. 1. A. A. A. A.			
4. Date of achievement				
5. Awarding Organisation identifier				
6. Unique Learner Number				
7. Provider	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1			
8. DOB				
9. LRS logo				
10. Web Link				
ttps://www.uklrs.miap.gov.uk/wps/myp	ortal/ 10			14/01/201

# <u>Schools Information</u> <u>Management System</u> (SIMS)

# **Information & Examples**

# Schools Information System (SIMS)

#### **Background**

Reports and screen shots from SIMS can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

SIMS is directly populated by Awarding Organisations and can only be accessed by a limited number of users within individual schools. If you want to use evidence from SIMS, then, as a **minimum**, the following information will need to be clearly displayed on any evidence submitted:-

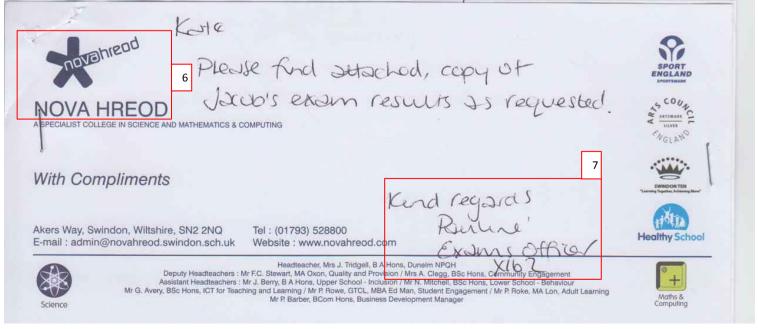
- Learner's full name
- Qualification name
- Examination Board name
- Status of achievement i.e. certificate or unit
- Grade/Result
- Date of achievement/award date

SIMS reports/prints <u>must</u> be signed and dated by an appropriate representative from the school, such as an Examinations Officer or Head Teacher and have either the school stamp/logo included or be on school headed paper. If the evidence is missing for any of these requirements then the evidence will not be accepted.

udent Exa	mination F	Results De	etail: Soph	ie <b>(11)</b>	ור						8	
Results, E	ndorseme	nts and E	quivalents									
Date 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-06 2010-01 2009-06 2009-06 2009-06 2009-06	Level GCSE/B GCSE/B GCSE/B GCSE/B GCSE/B GCSE/FC	Board OCR OCR OCF OCF OCF OCF OCF OCR OCR OCR OCR OCR OCR OCR OCR OCR OCR	Code A223H A229A A323H A329A A333H A339A 3204 3205 3702H 1380H J633 J635 026502 A222H A332H 3702H A322H A332H	Chemistry A: Unit Chemistry A: Unit Physics A: Unit 3 Physics A: Unit 3 Physics A: Unit 4 Art and Design (T Art and Design (T English A Tier H English A Tier H Biology A Chemistry A Physics A Ict (Short Cou Hig Biology A: Unit 2 Physics A: Unit 2 English A Tier H Biology A: Unit 2 English A Tier H Biology A: Unit 1 Physics A: Unit 1 Religious Studies	DA & Case Study) 3 (Ideas) Hgh 4 (DA & Case Study) Ideas) Hgh DA & Case Study) extiles) -D Design) -D Desig	Unit Unit Cert Cert Cert Cert Cert Cert Cert Unit Unit Unit Unit Unit Unit	Result 67 78 75 63 75 73 8 A B B B B B B B B B B B B C 38 36 36	Points 46.00 52.00 46.00 46.00 52.00 46.00 46.00 26.00 40.00 26.00 40.00 23.00	Endrs	Equiv c b b b b b 220 210 224 b b b b b b b b b b b b b b b b b b	Ext	Fest
Entries an	d Forecast	s										
Season			Ļ	evel Board	Code	Title			Status	Mode	Fost	
Season	N	Rab	on H by Pa Ne	igh Sc ark Ro	ad	6		Elen	nent Leve	al l	Result	Status
a conversione	Z OF	Rab hes Tel. (	on H by Pa Ne hire	igh Sc ark Ro	shool ad 9NH 902			Elen	nent Leve	9	Result	Status
a conversione	Z OF	Rab hes Tel. (	Sime	igh Sc ark Ro ston CH64 336 3 1 353 0	2002 902 0408			Elen This SIMS minimum	S report	eviden	ce me	ets the

8			
Season: June 2011	Series:	(All)	
Name: Jacob		Year:	(11)
Candidate Number: 6108		Reg. Group:	(11
UCI:		ULN:	7545
Exam Results			

Board	Level	Element Code	Title	Grd1 Grd2	Mark	Equiv	End1	End2	Points
AQA 5	GCSE/FC	3702F	English A Tier F	C /					40
AQA	GCSE/FC	2 3712F	English Literature A Tier F	D 3					34
AQA	GCSE/B	42401	Drama Unit 1		51	е			
AQA	GCSE/B	42402	Drama Unit 2		134	b			
AQA	GCSE/FC	4242	Drama	с		185			40
OCR	GCSE/B	B635	Biol B: Unit 3 (Skills)		56	d			
OCR	GCSE/B	B641F	Chemistry B: Unit 1 (C1,		55	d			
OCR	GCSE/B	B645	Chem B: Unit 3 (Skills)		50	d			
OCR	GCSE/B	B652F	Physics B: Unit 2 (P4,		62	с			
OCR	GCSE/B	B655	Phys B: Unit 3 (Skills)	This SIM	S repor	t evide	nce m	eets th	e minimum
OCR	GCSE/FC	J643	Biology B	requirem	•				
OCR	GCSE/FC	J644	Chemistry B			<b>C</b>			
OCR	GCSE/FC	J645	Physics B		Full nar Qualific			ner :ype an	d level
EDEXL/GCSE	GCSE/FC	1380F	Mathematics (Linear)		Grade a			.ypc un	
EDEXL/GCSE	GCSE/B	5RS02	Religious Studies 2		Date ac				
EDEXL/GVQ	DIDA/B	D201	Digital Applications UN 1			<b>·</b> ·		on <mark>ide</mark> n chool/l	tifier neaded pape
EDEXL/GVQ	DIDA/B	D202	Digital Applications UN 2		•		-		or someone c
EDEXL/GVQ	DIDA/B	D203	Digital Applications UN 3		-		•		ad teacher
EDEXL/GVQ	DIDA/EC2	GE2DA	Ext.Cert.in (ICT)	8.	Candida	ate Sta	tement	: of Res	sults



# Awarding Organisation Evidence Alternatives to actual achievement certificates

# **Information & Examples**

#### Introduction

There are currently around 150 Awarding Organisations, operating in England, who are registered to use the Learning Records Service (LRS) database and regularly populate individual's Personal Learning Records (PLR). However, many of these organisations also have their own certification databases and many of these can be easily accessed online by training providers to produce achievement record reports for individual learners.

Reports and screenshots from these Awarding Organisation databases can be accepted as evidence for an Apprenticeship Completion Certificate claim, providing that all the minimum data requirements are met and the database web link is included on the report/screenshot.

The following section includes multiple examples from a number of Awarding Organisations and each has been annotated to indicate the **minimum** data requirements for each evidence type.

To date, we have included examples from the following Awarding Organisations:-

- City & Guilds
- EDI
- Edexcel
- Skillsfirst Awards Ltd
- Cskills Awards

If the Awarding Organisation evidence that you have does not contain the minimum requirements, as annotated, then the evidence will not be accepted.

#### Help us to help you!

If you have examples of evidence that are not currently contained within this document but contain information that matches, or is similar to, the examples included then please contact the Federation **prior** to submitting your Apprenticeship Completion Certificate claim so that we can assess the evidence you are proposing to use. If we agree to the evidence being used, we will approve it and add it to this guidance document as part of our regular review and update process.

All evidence examples for review and consideration to be sent to ace@fisss.org.

# **City and Guilds**

City and Guilds have recently started to issue e-certificates which look exactly the same as a normal certificate. They also use an online certification system known as Walled Garden.

In addition to actual City & Guilds certificates, both their e-certificates and reports/screen shots from Walled Garden can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

The following section contains examples of:

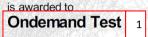
- A City & Guilds e-certificate
- A screen shot from the Walled Garden
- A PDF report from the Walled Garden

Please note: On the non-certificate evidence we can accept the result as conferred or passed.



5

Essential Certificate in Spreadsheet Processing Techniques



who has achieved the following Spreadsheet Processing Techniques - Essential

This City and Guilds e- certificate evidence meets the minimum requirements as it shows:

1. Full name of the learner

3

- 2. Qualification name, type and level
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. Apprentices Gender\*
- 7. DOB\*

6

Pass

8. Certificate Number

\*These can be found included within the certificate number; in this case the last few characters are M/01/01/80. From this we can determine that the apprentice is Male and was born on 1<sup>st</sup> January 1980. This information must match the information for the apprentice on ACE.

Awarded 22 December 2013 -FLL6-8TP4-LHDV-F7Z6-ZCSV

Sir John Armitt, CBE FREng FCGI Chairman The City and Guilds of London Institute

221213/8970-22/851005E/CSGb+7-8/W010180

2

5500860799

Chris Jones Director-General The City and Guilds of London Institute



The City and Guilds of London Institute founded 1878 and incorporated by Royal Charter 1900.

4

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Z https://www.walled-garden.com/irj/port	tal 10	- م	- 🔒 🗟 🖒 🗙 😅 🏧 Candi	dates Results -	City & >	< <i> (</i>	ACE 1.0 🙃 🛧 🖇	
File Edit View Favorites Tools Help								
🖕 💥 Telephone Directory 🧧 Home 🧧 Web Slice G	🔆 Y Telephone Directory 避 Home 避 🐨 🖾 👻 Dage 🔻 Safety 🔻 Tools 👻 🔞 👻 🍡							
Welcome Miss Lini Powell	/alled Garden						Help   Personalize   Log off	
Home Catalogue/Shop Reports	ations   Amend On Deman	d Orders						
Candidates Results							History Back Forward	
Candidate History								
							•	
	ustomer No.	Cen	tre name					
019131 1	005788		College 9					
Your search results								
Choose the required candidate by clicking on the built	tton in the Select column							
Select ENR no. ULN	First Name	Middle N	ame L	ast Name			DOB Gender Merged Date Centre	
SRW0350 519	Shane						09.0 M 191270	
Selected candidate history details 6				1			8 7	
Date Order Code	Qualification/ Assessment Description	Item	Assessment Type	Result / Statu	us Sort by	Reg End		
29.06.2011 3748-010	Functional English Reading Level 1	Results	Dated Exam	Pass	Soft by	results	meets the minimum requirements as it shows:	
30.06.2011 3748-010	Functional English Reading at Level 1	2 tification	Unit Credit	Conferre 3			- 1. Full name of the learner	
01.07.2011 3748-011	Functional English Writing Level 1	Results	Dated Exam	Fail			2. Qualification name, type and level	
03.08.2011 3638-01	Key Skills Qualifications	Registration				03.08.20		
03.08.2011 3638-132	Working W O level 2 2004	Results	Centre devised Exam	Pass			4. Date achieved	
03.08.2011 3638-134	Improving OLP level 2	Results	Centre devised Exam	Pass			5. Awarding Organisation identifier 6. ULN	
*= Gained from a previous accreditation	*= Gained from a previous accreditation					7. Gender		
							8. DOB	
							9. Provider	
							10. Web Address for the screen shot	
						Please note: we can accept the result as		
							conferred or passed	
							•	

#### **Candidate History**

	Date and time of report:	13.02.2013	12:40
	Candidate Name:	Warren	1
	Candidate ID:	IWK3	
7	Gender:	Male	
	Date of Birth:	18.05.1	8
	ULN:	9147236	
6	Merged Date		

Date	Order Code	Qualification/ Assessment Description	ltem	Assessment Type	Result / Status	Reg End Date	Sales Order	PO Ref		
22.07.2011	6088-001	Maintain the Safe Working Environment fo	Results	Centre devised Exam	This City and Guilds report evidence meets th minimum requirements as it shows:					
22.07.2011	6088-002	Maintain Effective Working Relationships	Results	Centre devised Exam	1. 2.		ime of the lication nam		d leve	
22.07.2011	6088-003	Contribute to the Improvement of Busines	Results	Centre devised Exam	3. 4. 5.	Grade achieved Date achieved				
22.07.2011	6088-004	Install Heating & Ventilating Systems &	Results	Centre devised Exam	6. 7. 8.	ULN Gende DOB				
22.07.2011	6088-005	Pre-commission & Decommission Heating &	Results	Centre devised Exam	9.					
22.07.2011	6088-201	Achieved in the Context of Gas Systems	Results		conferred or passed					
22.07.2011	6088-001	Maintain the Safe Working Environment fo	Certification	Unit Credit	Conferred		0302178451	Certificate		
22.07.2011	6088-002	Maintain Effective Working Relationships	Certification	Unit Credit	Conferred		0302178451	Certificate		
22.07.2011	6088-003	Contribute to the Improvement of Busines	Certification	Unit Credit	Conferred		0302178451	Certificate		
22.07.2011	6088-004	Install Heating and Ventilating Systems	Certification	Unit Credit	Conferred		0302178451	Certificate		
22.07.2011	6088-005	Pre-commission and Decommission Heating	Certification	Unit Credit	Conferred		0302178451	Certificate		
22.07.2011	6088-01A- 001	Level 2 NVQ in Heating and Ventilating (	Certification	Qualification	Conferred	3	0302178451	Certificate		

\*= Gained from a previous accreditation

28

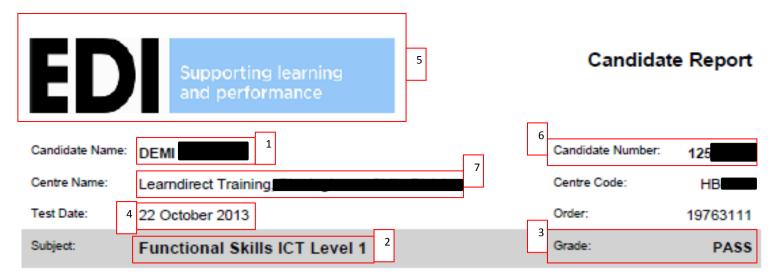
## <u>EDI</u>

EDI (also known as Pearson EDI) use an online certification system known as Campus.

In addition to actual EDI certificates, reports/screen shots from Campus can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

The following section contains examples of:

- An EDI actual achievement Certificate (currently they do not issue e-certificates)
- A Candidate report from Campus
- "Old" style EDI certificates



#### Skill Standards

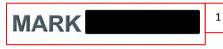
	0%	50%	100%
Using ICT		•	
Finding and selecting information			
Developing, presenting and communic		1	

#### Skill Standards

Using ICT	0%	50%	100%
Interact with and use ICT systems to meet requirements of a straightforward task in a familiar context		This EDI completion report evid meets the minimum requireme shows:	
Manage information storage		<ol> <li>Full name of the le</li> <li>Qualification name</li> </ol>	
Finding and selecting information	0%	level	, туре апо
Use search techniques to locate and select relevant information		<ol> <li>Grade achieved</li> <li>Date achieved (tes</li> <li>Awarding Organisa</li> </ol>	
Select information from a variety of ICT sources for a straightforward task		identifier 6. Candidate Number	
Developing, presenting and communicating infor	0%	7. Provider	
Enter, develop and refine information using appropriate software to meet the requirements of straightforward tasks			
Use appropriate software to meet requirements of straightforward data-handling tasks			
Use communications software to meet requirements of a straightforward task			
Combine information within a publication for a familiar audience and purpose			
Evaluate own use of ICT tools			

Certificate

#### This is to certify that



has been awarded the

### Pearson EDI Level 2 NVQ Certificate in Team Leading (QCF)<sup>2</sup>

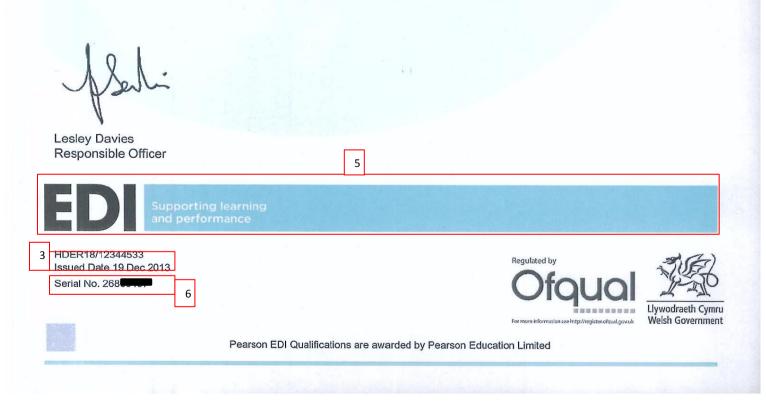
(Accreditation No. 500/9208/X)

having satisfied the requirements of Pearson Education Limited

5

This EDI certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN Number
- 6. Certificate Number



P.002/003

Certificate	Education Development International
This is to certify that          LOUIS       1         has been awarded	
EDI Level 2 Key Skills in Application of Num (Accreditation No. 100/0295/9) ***** having satisfied the requirements of Education Development International	2 nber
	<ul> <li>This is an EDI certificate showing the completion of a Key Skills Qualification:</li> <li>1. Full name of the learner</li> <li>2. Qualification name, type and level</li> <li>3. Date achieved</li> <li>4. Awarding Organisation identifier</li> <li>5. QAN number</li> <li>6. Certificate Number</li> </ul>
Issued Oate 02 Oct 2007 3 HTRWL/10142137 HTRWL/10142137	Serial No. 362
Sin Berleter C. F. F. ALLOPME	正式にはたけになり込む。

# **Edexcel**

Edexcel are part of the Pearson group and use an online certification system known as Edexcel OnLine (EOL)

In addition to actual Edexcel certificates, reports/screen shots from EOL can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

The following sections provide examples of:

- An Edexcel e-certificate
- Certifying statement of results
- Notification of performance
- Results report from EOL
- Screen shot from EOL
- Diploma transcript
- "Old" style of Edexcel certificate



This is a Pearson Awards certificate showing the completion of a Level 7

Full name of the learner

Awarding Organisation

Certificate Number

Qualification name, type and

Diploma Qualification:

Date achieved

level

identifier

1.

2.

3.

4.

5.

2

## Pearson 22 BTEC Level 7 Extended Diploma

In Strategic Management and Leadership (QCF)

is awarded to

SAMPLE CANDIDATE

Who has completed an approved programme at

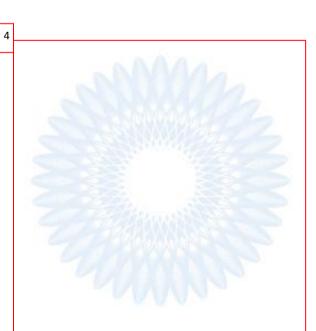
#### AN APPROVED BTEC CENTRE

AWARDED : JANUARY 2014

10301:2041:L0H240541: 024067004 ISSUED 01-JAN-2014



3



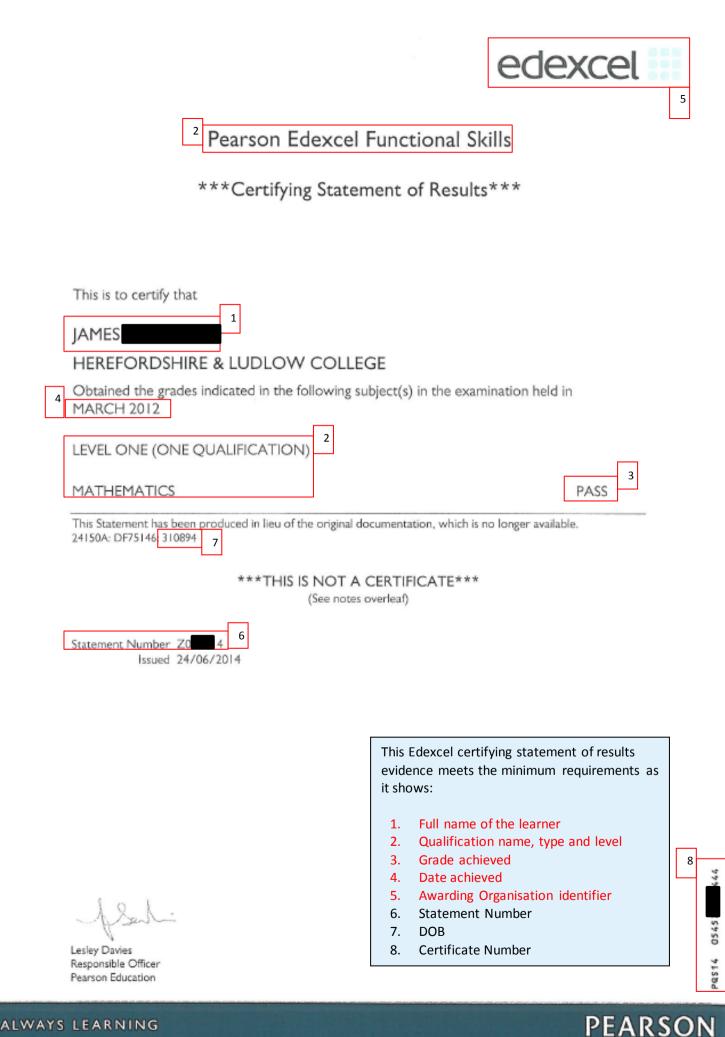
#### ALWAYS LEARNING

Lesley Davies Responsible Officer Pearson Education

5

PEARSON

Acceptable Evidence Guidance document September 2014 © FISSS





### Notification of Performance

	EK.66851	SEEDIQI			
	EDEXCEL FUN	ictional skills qu ics	JALIFICATION 2		
	QCA CODE	TITLE		VALUE LEVEL GRA	DE
	JFC TRAINING K/601/1958		ICTIONAL SKILLS AT LEVEL	2 1 1.0 1 PAS	
	THE LEARNER	HAS QUALIFIED FOR	R THE ABOVE AWARD AT LE	EVEL I IN MARCH 2013	3
	04349 : DHE50	8 ISSUED 22-M	AR-2013 QAN 500/8906/7		
		performance evidence ements as it shows:	ce	-66 78. 855 855 1	8
	Full name of the lo				<i>i</i>
2. 3.	Qualification nam Grade achieved	e, type and level			
4. 5	Date achieved	ation identifier			7

- 5. Awarding Organisation identifier
- 6. QAN number
- 7. Certificate Number
- 8. DOB





BIIPONAZOTAR 578

### PEARSON

#### ResultsPlus | CANTERBURY COLLEGE | Wendy Salmon

1		-	
Functional Skills	Date	Grade	
FSE01 Functional Skills: FUNCTIONAL ENGLISH LEVEL1	June 2012	P	
Units 2	Date 4	UI 3	max score
E101 Functional Skills Unit: FUNCTIONAL ENGLISH L1	June 2012	6/6	
E102 Functional Skills Unit: FUNCTIONAL ENGLISH L1	June 2012	6/6	
E103 Functional Skills Unit: FUNCTIONAL ENGLISH L1	June 2012	6/6	
FSM01 Functional Skills: FUNCTIONAL MATHS.LEVEL 1	March 2012	P	
FST01 Functional Skills FUNCTIONAL ICT LEVEL 1	June 2013	P	This Edexcel results plus report evidence meets the minimum requirements as it
FST01 Functional Skills: FUNCTIONAL ICT LEVEL 1	January 2013	U	shows:
			<ol> <li>Full name of the learner</li> <li>Qualification name, type and level</li> <li>Grade achieved</li> <li>Date achieved</li> <li>Awarding Organisation identifier</li> </ol>

edexcel !!!

	edexcelo	nline.com/	Apprenticeship/Ap	prenticeshipSearcl	h.aspx?TabId=	-13 P	- 2 C X	🦲 ACE 1	L.O	
File Edit View Favori	tes To	ols Help								
👍 🧿 Babcock Intranet Lo	ogin (2)	🕘 Babcoc	k Intranet Login [	Suggested Sites	•					
PEARSON								ALWA	YS LEAR	NING
edexcel	4						Home	<u>Mailbox</u>	My Accour	nt <u>Log-or</u>
	Cent	re numbe	er:	TRA	INING LTD					
			te: NORTH EAS	БТ (72727К - В	TEC/GVQ)		5			<b>•</b>
Candidates 🕨	BTE	C Appre	nticeship Can	ndidate Searc	:h	L				
Approvals 🕨	We	elcome t	o the BTEC A	pprenticeship	o Candidat	te Sea	rch page	e.		
BTEC >	Use	e this scr	een to retrieve	details for car	ndidates re	qistere	d for App	rentices	hips.	
Key Skills		arch Res		1		8	7			
Standards Verification		Reg. No.	Code	First Name	Last Name	Gende	r DOB	UL	N Cohor	t
Vernication		CR54732	MAS03613	JESSICA		F		92		
		Code	Programme Title			Qual. Type	Est. Comp. Date	Award Date	Cert. No.	
		UP498		L 2 CERTIFICATE (SPE ) WORK IN ADULT SO		BTEC	06/04/12	01/04/12	37378	<u>Details</u>
		WE251	2 (CEL BTEC LEVEL KSKILLS FOR EF EMPLOYMENT (QCF)	L 2 AWARD (WORKSK FECTIVE LEARNING A	ILLS QCF) in ND	BTEC	06/04/12	3	6	<u>Details</u>
		WE330	EMPLOYMENT RESPO	L 2 AWARD (SPECIAL) NSIBILITIES & RIGHT HILDREN & YOUNG PE	IS IN HEALTH,	BTEC	01/07/12	01/03/12	17530	<u>Details</u>
		Q7001155	HEALTH AND SOCIAL (LEVEL 2 DIPLOMA)	. CARE (ADULTS) FOR	ENGLAND	NVQ	06/04/12	01/04/12	00794847	<u>Details</u>
		KA1	APPLICATION OF NUM	MBER		Key Skills	10/07/13			<u>Details</u> Entries
		KC1	COMMUNICATION			Key Skills	10/07/13			Details Entries
This Edexcel screen sho the minimum requirem 1. Full name of th	ents as	s it shows								

- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Centre Name
- 6. Certificate number
- 7. Date of Birth
- 8. Gender

Home | Mailbox | My Account



#### **Diploma Transcript**

This Edexcel evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. QAN number

1

ULN 15 61 07 LAUREN

EDEXCEL LEVEL 3 ADVANCED DIPLOMA in SOCIETY, HEALTH AND DEVELOPMENT

	QAN CODE	TITLE	CREDIT VALUE	GRADE	AWARD DATE
	PRINCIPAL	LEARNING			
	PRINCIPAL L	EARNING OVERALL			
	500/2368/8	EDEXCEL LEVEL 3 PRINCIPAL LEARNING IN SC HEALTH AND DEVELOPMENT	OCIETY,	D	JUNE 2012
	PRINCIPAL L	EARNING UNITS			
	A/500/9248 A/500/9251 F/500/9249 J/500/9253 R/500/9255	THE SECTORS IN CONTEXT COMMUNICATION AND INFORMATION SHARIN PRINCIPLES AND VALUES IN PRACTICE PERSONAL AND PROFESSIONAL DEVELOPMEN WORK ENVIRONMENT SAFEGUARDING AND PROTECTING INDIVIDUA SOCIETY	T IN THE	D B UNCLASSIFIED C	JUNE 2012 JUNE 2012
	T/500/9250	PARTNERSHIP WORKING		D	JUNE 2012
	PROJECT				
	500/2372/X	EDEXCEL LEVEL 3 EXTENDED PROJECT		D	JUNE 2012
6	FUNCTION	AL SKILLS	2	3	4
	500/9318/6	CITY & GUILDS LEVEL 2 AWARD IN FUNCTION	AL SKILLS	PASS	SEPTEMBER 2011
	501/0639/9	IN ENGLISH CITY & GUILDS LEVEL 2 AWARD IN FUNCTION/ IN ICT	AL SKILLS	PASS	FEBRUARY 2012
	501/0987/X	CITY & GUILDS LEVEL 2 AWARD IN FUNCTION	AL SKILLS	PASS	DECEMBER 2010
	ADDITION	AL AND SPECIALIST LEARNING			1
	100/3338/5	OCR LEVEL 3 NATIONAL CERTIFICATE IN HEAL CARE AND EARLY YEARS	TH, SOCIAL	MERIT	AUGUST 2012
			CONTIN	UED ON NE	XT PAGE
					an Ellis
					On 3 A

ltate dute ite

Isabel Sutcliffe Responsible Officer Pearson Education









#### **BTEC Candidate Details**

		3		
Centre No: 1	9231			
Centre Name:	COLLEGE			
Site: F	E ADMINISTRATION (19231A - BTEC/GVQ)			
Candidate details				
Canuluate uctains				1
Registration Number:	DJ07086	Name:	HANNAH	
Enrolment Date:	01/09/11 6	Date of Birth:	04/11/	
Sex:	Female	Centre Reference:	273474	
Planned Completion Date:	31/07/12	Study Mode:		
LSC Code:		Franchise Number:		
Combination Code:	A	ULN Number:		·
Certification details				
Award Date:	07/12	Unit Certificate Issue Date:	03/10/12	
Award Claimed:	Y 4	Award Eligible:	Yes	
Award Issue Date:	15/07/12 4	Overall Result:	2	
Fallback:		Modern Apprenticeship:		
Withdrawn:		2		
		2		
Programme Description:	EDEXCEL FUNCTIONAL SKILLS QUAL	IFICATION		
Programme Level:	EDEXCEL FUNCTIONAL SKILLS QUAL	IFICATION:2		
Programme Title:	FUNCTIONAL SKILLS - ENGLISH (LEVI	EL 1/2) (ONSCREEN)		
Results				
Unit Code Title			Level Value	Status Grade 3
and the second sec	functional Skills L2 (S&L)		2 1 2 1	O Pass O Pass
	functional Skills L2 (Read) functional Skills L2 (Writ)		2 1	O Pass O Pass
	unctional Skills L1 (S&L)		1 1	0
The second	unctional Skills L1 (Read)		1 1	õ
	functional Skills L1 (Writ)		1 1	0
- Print -				
Transaction history			This Edexcel evider	nce meets the minimum
		aterian -	requirements as it	shows:
8	Start Date SA1/EDI Form Refere	ence		
17/11/11 0	01/09/11		4 5 1	
			1. Full name of	
Transfer History			<ol><li>Qualification</li></ol>	n name, type and level
Transfer Date Start D	Date Centre Number Code	Title	3. Grade achie	ved
			4. Date achieve	he
			-	ganisation identifier
			6. Gender	
			7. DOB	

### **Skillsfirst Awards Ltd**

The Skillsfirst Awards section includes examples of:

- An actual certificate
- Screen shot of overall qualifications
- Screen shot of qualification details



### **Skillsfirst Awards**

Level 3 Certificate in Preparing to Work in Adult Social Care (QCF)

600/0586/5

5

This certificate is awarded to

1

Gemma

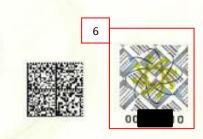
The holder has one or more formal credit certificates within the Qualification Credit Framework by which this qualification was achieved

Myra Wall Managing Director

This Skillsfirst certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. DOB



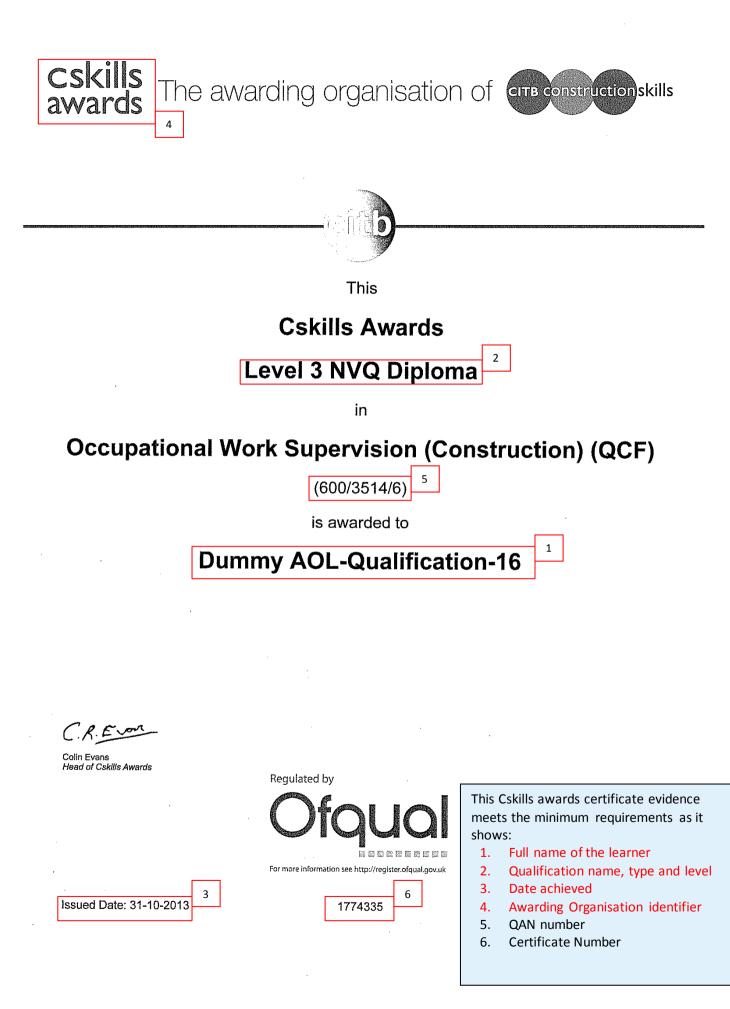


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Functional Skills ICT L1 Functional Skills Qualification in Information and Communication Technology (IC	CT) Level 1 Mollie		1043123	72%	36	Pass	Avidity Training Ltd	13/02/2014	Untimed	Pass
2		1				3		4		
This Skillsfirst Awards screenshot showing Functional Skills	]									
achievements as it shows:										
1. Full name of the learner										
2. Qualification name, type and level										
<ol> <li>Grade achieved</li> <li>Date achieved</li> </ol>										
4. Date achieved 5. Awarding Organisation identifier										
6. Web Address										
Page 1 (1-1 of 1)				Candidate Rep	ort Summary	Candidate B	reakdown Exam Br	eakdown	esults Slip	Print Refresh

### **Cskills Awards**

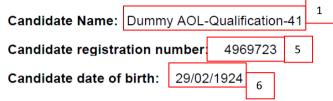
The Cskills Awards section includes examples of:

- A Cskills Certificate
- A Cskills candidate report





#### Candidate Record



#### Achievements

Qualification	Delivery Method Registration Achiev	ement Issued				
QUC849 - L2 NVQ Diploma in Tunnelling Operations	2 ew Entrant 20/01/201 3 20/01/201	4 21/01/2014 7				
(Construction) - Tunnelling Machine Operator	QCF177 - Excavating and Profiling Tunnels in the Workplace20/01/2014QCF641 - Conforming to General Health, Safety and Welfare in the Workplace20/01/2014QCF643 - Moving, Handling and Storing Resources in Workplace20/01/2014QCF642 - Conforming to Productive Working Practices in Workplace20/01/2014QCF568v2 - Support the Forming of Tunnels using Specialist Skills in the Workplace Tunnelling Machine Operator20/01/2014QCF390Tv3 - Preparing and Operating Specialist20/01/2014					
	Tunnelling Plant to Form Tunnels in the Workplace Tunnel boring machine					
QUD849 - L2 NVQ Diploma in Tunnelling Operations (Construction) - Sprayed Concrete Lining Tunnelling Operative	New Entrant This Cskills awards candida evidence meets the minimu					
	QCF123 - Preparing Substrate the Workplacerequirements as it shows:QCF641 - Conforming to Gene Welfare in the Workplace1.Full name of the lear 2.QCF643 - Moving, Handling an the Workplace2.Qualification name, 3.3.QCF642 - Conforming to Produ the Workplace3.Date achieved 4.4.QCF568v2 - Support the Formi Specialist Skills in the Workplac5.Candidate Registration 6.DOB 	type and level on identifier				
	QCF124v2 - Providing Structur Concrete in the Workplace Spraying machin <del>erpump operative</del>					
	Robotic spraying equipment wet					
	QCF390Tv3 - Preparing and Operating Specialist 20/01/2014 Tunnelling Plant to Form Tunnels in the Workplace Sprayed concrete plant and equipment.	4				
QUM849 - L2 NVQ Diploma in Tunnelling Operations	New Entrant 20/01/2014 20/01/2014	4 21/01/2014				
(Construction) - Tunnel Transport Operator	QCF641 - Conforming to General Health, Safety and 20/01/201-	4				
	Welfare in the Workplace QCF642 - Conforming to Productive Working Practices in 20/01/201- the Workplace	4				
	QCF643 - Moving, Handling and Storing Resources in 20/01/201- the Workplace	ł				

# <u>Screen shots acceptable</u> <u>from other sources</u>

As well as the Awarding Organisations that have already been included within this document there are many more from which we can accept evidence that is not an actual achievement certificate. Other organisations have their own online certification systems which can be accessed to produce reports and screen shot evidence for learners.

Evidence of this type can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

Some of the more common ones that we get submitted on ACE are included in the following section.

These include examples from:

- AQA
- OCR
- EAL
- IMI

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Candidate search results Subjects for your selected candidate are shown below	minimum requirements as it shows: 1. Full name of the learner	
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Series Date Entry Code Title Result UMS/ Points Max Notes	6. Candidate Number	
June 08     3702H     ENGLISH A TIER H     C(c)       June 08     3712H     ENGLISH LITERATURE A TIER H     B(b)       4	<ol> <li>Gender</li> <li>DOB</li> <li>Web Address</li> </ol>	
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	→ Functional Skills	-	QCACode	500/8963/8			
	→ Skills for Life	1	Result Date	06 Sep 2011 4			
	→ NVQ	1					
	→ Vocationally related	1					
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#### Learner History

1	Report Run D	ate/Time 3	30.10.2013 12:12:37	1	]		
	Candidate No	Name 7	07-2, ZO				
	Date of Birth	8 2	1 October 19	G	Gender Male	7	
	Qualification	1000001	0/XA,Key Skill Level	I 1 Applicatio	on of Number Leve	1	
	QAN	100/081	0/X				
	Reg. Date	20 Septe	ember 2012	CQN 0	082198447	Reg. Status Qua	alification(after portfolio)
	Claim Date	Unit Code	QAN	Unit Descri	iption		Claim Type
	29.07.2013	AON1/FOLIO	100/0810/X	Application	of Number Level 1		Qualification(after portfolio)
	18.07.2013	AON1/TEST	100/0810/X	Key Skill Le	evel 1 Application of	f Number	Exam Pass
	Qualification	100/081	4/7A,Key Skill Level	1 Communi	cation Level 1		
	QAN	100/081	4/7				
	Reg. Date	20 Septe	mber 2012	CQN 0	082198448	Reg. Status Qua	alification(after portfolio)
	Claim Date	Unit Code	QAN	Unit Descri	iption		Claim Type
	29.07.2013	COMM1/FOLIO	100/0814/7	Communica	ation Level 1		Qualification(after portfolio)
	18.07.2013	COMM1/TEST	100/0814/7	Level 1 Cer	rtificate in Adult Lite	racy	Exam Pass
	Qualification	501/170	7/5,EAL Level 2 Cer	rtificate in Wa	arehousing and Sto	rage Level 2 2	
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٦							
	Reg. Date	20 Septe	ember 2012	CQN 0	082198818	Reg. Status Full	Claim(one go) 3
	Reg. Date Claim Date	20 Septe Unit Code	emb <del>er 2</del> 012 QAN	CQN 00		Reg. Status Full	Claim(one go) 3 Claim Type
	-			Unit Descri	iption uipment to perform		
	Claim Date	Unit Code	QAN	Unit Descri Operate eq in logistics o	iption uipment to perform	work requirements	Claim Type
	Claim Date 17.10.2013	Unit Code QWAS2/019	QAN D/601/7921	Unit Descri Operate eq in logistics o Keep stock operations	iption uipment to perform operations	work requirements	Claim Type Full Claim(one go)
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00/0636/9	Communication		08-5	ep-2009	18-Aug-2010	Yes	Completed .
00/5532/0 (LV)	Vehicle Maintenance & Repair	an a	20-N	ar-2009	10-Jan-2011	Yes	Completed
00/5533/2 (LV)	Vehicle Maintenance & Repair		24-Mar-2011		13-Feb-2012	Yes	Completed
0013368/A (LV)	Certificate in Vehicle Maintenance and Repa	air	10-N	ov-2008	24-Jun-2009	Yes	Completed
00/5570/8 (LV)	Diploma in Vehicle Maintenance and Repair	2	08-5	ep-2009	06-Sep-2010	4 Yes	Completed
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/103/2121 Maint	ain positive working relationships	20-Mar	-2009	10-Jan-2011	No	No	No
./103/2203 LV Remo	we and replace engine units and components	20-Mar	-2009	10-Jan-2011	No	No	No
//103/2219 LV Inspe	ct vehicles	24-Mar	-2011	13-Feb-2012	No	No	No
and distant interest on the second	ose and rectify vehicle chassis system faults	24-Mar	-2011	13-Feb-2012	No	No	No
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# <u>Awarding Organisation</u> <u>Evidence</u>

### **Actual achievement certificates**

# **Information & Examples**

# Ofqual Requirements for Awarding Organisation Certificates

All Awarding Organisation achievement certificates contain different information but they must all adhere to a set of Ofqual regulations, which stipulate that all qualification certificates must be clear and contain the following key information:-

- The full name of the Awarding Organisation, as recognised by Ofqual
- The full name of the Learner, as registered with the Awarding Organisation
- For qualification certificates Qualification Number, as assigned on the Ofqual Register
- For credit certificates Unit Reference Number/s as assigned on the Ofqual Register
- The level of attainment of the Learner
- The level of the qualification or credit achieved
- The date (day/month/year) that the Awarding Organisation confirms the Learner's attainment by the issue of a certificate
- Ofqual's logo in line with Logo Requirements, which may be published by Ofqual and updated from time to time

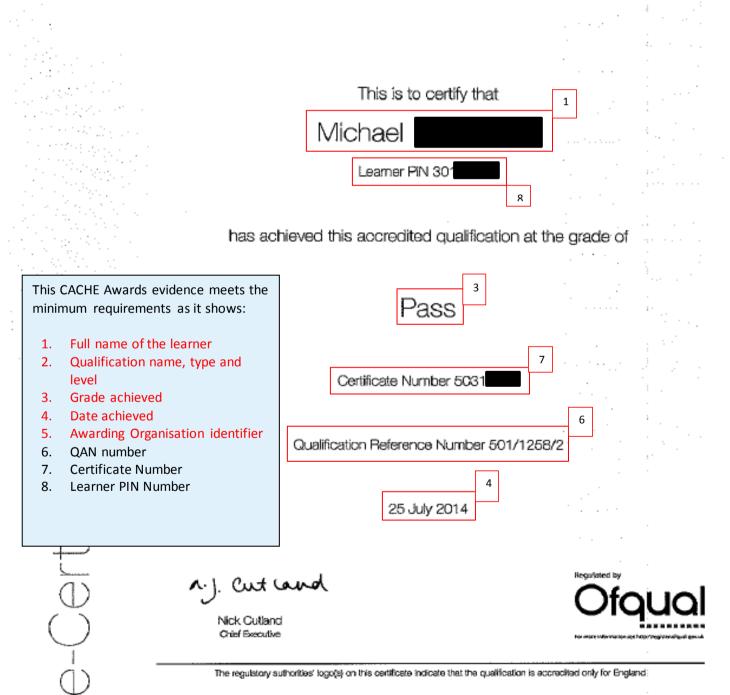
### NB: An Awarding Organisation may also include other relevant logos, including those of other regulators, where appropriate.

The following section of this document includes examples of actual achievement certificates from a range of Awarding Organisations and each has been annotated to indicate the **minimum** data requirements that must be included and clearly visible on each certificate. These examples can be used as a guide of the general principles that we will apply when deciding whether or not an evidence type is suitable to be accepted as robust achievement learner evidence, as part of any Apprenticeship Completion Certificate claim.



Council for Awards in Care, Health and Education

### CACHE Level 3 Diploma in Health and Social Care (Adults) for England (QCF)



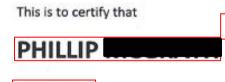
00265878-01-J3FJ

2

This BHEST evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number





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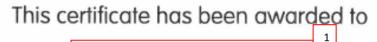
Has been awarded

<b>CARE (QCF)</b> 501/1697/6 5	
Javid Adam	Regulated by Ofqual For two other other or Maximum Applications of
Chairman Date 1 July 2014 6 Certificate Number 37524 (1)	Llywodraeth Cymru Welsh Government

1

Acceptable Evidence Guidance document September 2014 © FISSS







#### FDQ Level 2 Certificate for Proficiency in Meat & Poultry Industry Skills (QCF) 600/0518/X

In achievement of this qualification the learner has been awarded a total of 30 credits

5

This FDQ evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- Awarding Organisation identifier 4.
- 5. QAN number
- **Certificate Number** 6.

6

Anadallak

Amanda Clark Chief Executive 3 21st July 2014 /90089/01

This certificate is awarded by FDQ Limited who are a recognised awarding organisation in England, Northern Ireland and Wales

2

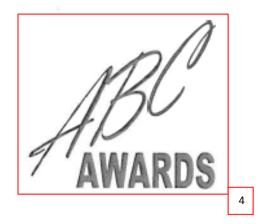
European Qualification Framework Level 3





Llywodraeth Cymru Welsh Government







has been awarded the

#### ABC Level 2 Certificate in Footwear and Leather (NVQ) (Footwear Production)

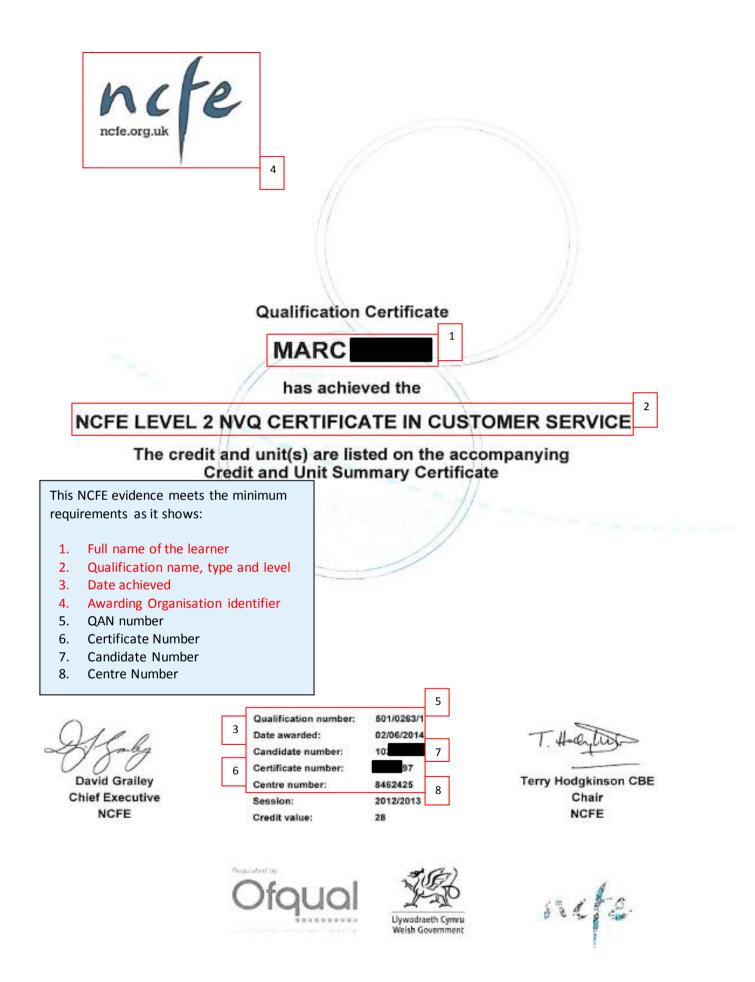
This ABC Awards evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Certificate Number





2



### **QUALIFICATION CERTIFICATE**

This is to certify that

imi Aluards

#### **First name Surname**

Δ

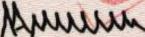
has been awarded the

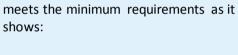
### **IMIAL Level 3 Diploma in Light Vehicle** Maintenance and Repair Competence (VCQ)

500/9815/9

Signed on behalf of IMI Awards Ltd

5

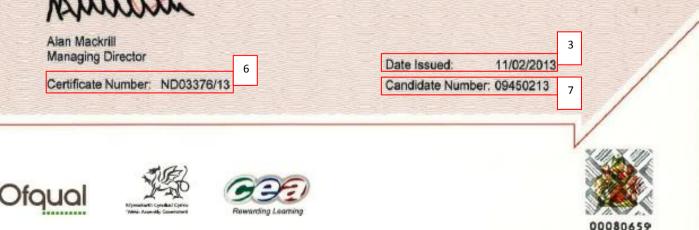




This example IMI Awards evidence

2

- Full name of the learner 1.
- Qualification name, type and 2. level
- 3. Date achieved
- 4. Awarding Organisation identifier
- QAN number 5.
- **Certificate Number** 6.
- 7. Candidate number



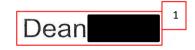




2



# Certificate



has been awarded the following Qualification

Level 2 Diploma in Fencing-

This example of LANTRA Awards evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. Date of Issue

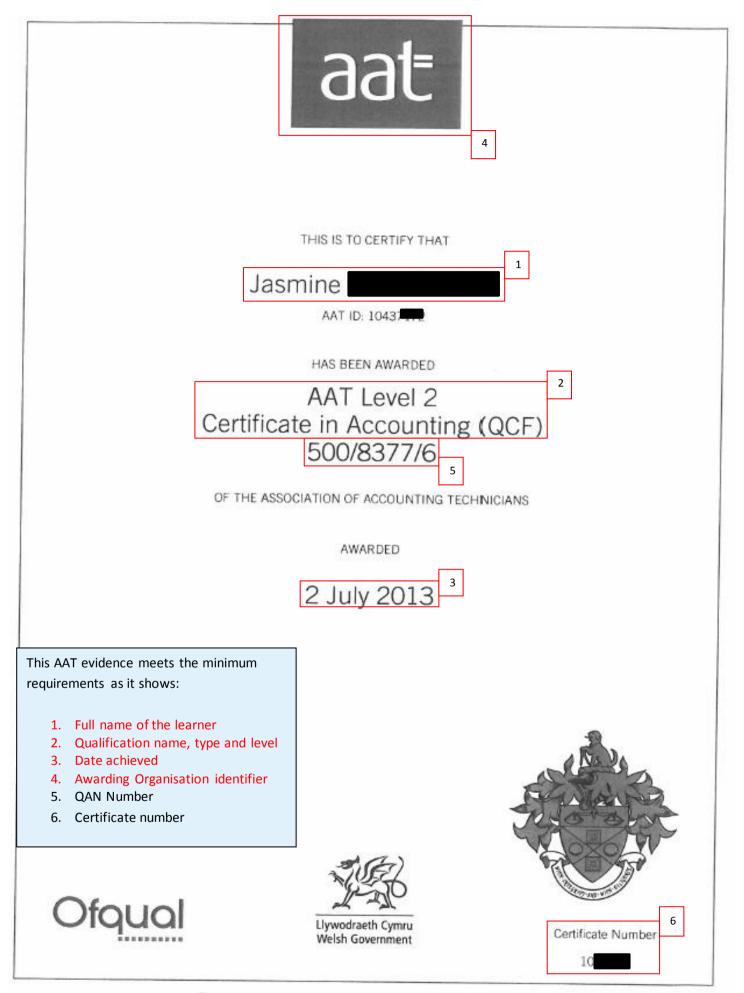
 7
 Date of issue : 09 Jan 2013
 Date Achieved: 17/12/2012
 Cert Ref: 55(2)
 Qual No.: 500/4216/6
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 Robert Tabor
 Valerie Owen OBE
 Valerie Owen OBE
 00000053
 00000053

Lantra, Lantra House, Stoneleigh Park, Coventry, Warwickshire CV8 2LG



This certificate is the property of AAT. AAT is a registered charity. No. 1050724

		THE CURRICU	TIM FYAMINATIO	NS AND ASSESSMENT
	NORTHERN IRELAND COUNC GENERAL of SECON	CERTIFIC	CATE	
	This is to certify that BUCHANAN, obtained the following guing SUMMER 2012 EVEN SUBJECTS	A 1 rades in 4	3	UCI 7194 H
	NGLISH LANGUAGE NGLISH LITERATURE EDGRAFHY ATHEMATICS ELIGIOUS STUDIES CIENCE: DOUBLE AWAR ECHNOLOGY AND DESIG	CD4 (T (m (N)	<ol> <li>Full name of</li> <li>Qualification</li> <li>Grade achieved</li> </ol>	the learner name, type and level ed d ganisation identifier umber (UCI)
	signed on behalf of the	Council for the Curricul	um, Examinations a	ind Assessment
For more information see http://register.ofqual.gon.uk Welsh As	the cynulled cynull isetby covernment rmation of Achiever 7	5 nent 31st Oct DATE OF BIRTH 8 17/11	ober 2012	CANDIDATE NUMBER



#### This is to certify that

1



has been awarded the

SQA Functional Skills Qualification in 2

#### **English at Level 2**

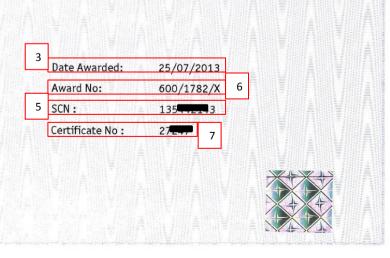
This SQA certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Candidate Number (SCN)
- 6. QAN Number
- 7. Certificate Number

Dr Janet Brown Chief Executive SQA









General Certificate of Secondary Education

2

4 This is to certify that in the examination held in JUNE 2010 BROGAN date of birth 6 AUGUST 8 achieved the following result at WESTON FAVELL SCHOOL FULL COURSE (Four (4) Subjects) ENGLISH LITERATURE (100/1979/0) GRADEB(b) GRADEC(c) ADDITIONAL SCIENCE (100/3552/6) ENGLISH (100//977/7) GRADEC(c) 7 3 SCIENCE (100/555420) This AQA certificate evidence meets the minimum requirements as it shows: SHORT COURSE (One (1) Subject) D&T: TEXTILES TECHNOLOGY (100/0825/1) Full name of the learner 1. 2. Qualification name, type VOCATIONAL DOUBLE AWARD COURSE (One (1) Sub and level 3. Grade achieved APPLIED PERFORMING ARTS (DOUBLE AWARD) (100/3608/8) Date achieved 4. Awarding Organisation 5. identifier Candidate Number 6. **QAN Number** 7. Date of Birth 8. 9. Certificate Number CENTRE No./CANDIDATE No. 272 2724 W 6 Ofqual Andrew Hall Chief Executive Officer on behalf of the Assessment and Qualifications Alliance

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### **Other Certification Systems**

Some Awarding Organisations have developed their own online database certification systems and this document includes examples of many of these and the types of evidence that can be obtained from them.

Other Awarding Organisations use third party IT systems to provide a platform for managing their certification processes. Two of the larger ones in use are Quartz and Parnassus. The following section of this document provides some overview information about each of these systems and the Awarding Organisations that currently use them.

Although these systems are used by multiple Awarding Organisations, they are usually tailored to meet the needs of individual organisations and so may not always look the same but reports and screen shots should always contain the same basic information.

### <u> Portico - Quartz</u>

Portico owns the Quartz system which is the name of one of the larger certification systems used by some Awarding Organisations. The Quartz system can be adapted to suit the requirements of each user organisation but in principle they are all built in the same way.

Therefore, in principle, screen shots from the following Awarding Organisations will be accepted as part of an Apprenticeship Completion Certificate claim, providing that they meet the minimum stated data requirements\*: -

- Active IQ
- Agored Cymru
- Ascentis
- ATHE
- BHEST
- CTH
- IAB
- IoLET (22nd October 2013)
- Kaplan Professional Awards
- Lantra Awards
- Lifetime Awarding
- NOCN
- City College Norwich

- Aim Awards
- OCN Eastern Region
- OCN London Region
- OCN North East Region
- OCN Northern Ireland
- Open Awards
- Laser Awards
- apt Awards
- OCNWMR
- OCN Yorkshire Humber Region
- Trident Awards
- UAL Awarding Body

\*The **minimum** data requirements that must be included on any reports or screen shots from are:

- Full name of the learner
- Qualification name
- Level/grade achieved
- Date of achievement/award
- Awarding Organisation identifier



'Centre Administrator' at Lifetime Training Group 'Centre Administrator' at Lifetime Training Group 'T Switch Role						Last Login:26/08/14 10:10			101
Documents     Reports	Iona       [28]       at       Group         Notes:       1. The history shown only includes achievements gained at your organisation. Other achievements either with this awarding body, or other awarding bodies are not shown.         2. Achievements may not be disclayed here for a named of time following their achievement-date.         6								
EResults Submission	Batch ID				Batch Start Batch End Metrics		No. of Awarda	Registered On	
1	1278 (more)	O Level 2 Certificate in Hospitality and Catering Principles (Food and Beverage Service) (QCP)		25/07/201	2	Metrica		11	CAO Level 2 Certificate in Hospitality and Catering Principles (Food and Beverage Service) (QCF)
	1272 (mpre)	LAO Level 2 NVQ Diploma in Food and Beverage Service (QCF)		25/07/201	2	Metrics		10	QLAO Level 2 NVQ Diploma in Food and Beverage Service (QCF)
F	The Qualifications Awarded								
	7	Qualification	Type	Level	RITS	Date Achieved	Grade	Certificate Pr	inted
2		Iploma in Food and Beverage Service (QCF)	Employment Related			07/03/2013	Not applicable	08/03/2013	
	LAO Level 2 Certifici	cate in Hospitality and Catering Principles (Food and Beverage Service) (QCF)	Employment Related	Level 2 6	00/2706/)	07/03/2013	Not applicable	08/03/2013	
EAssessment Units No EAssessments Units.						4	3	This Quartz evidence meets the minimum requirements as it shows:	
	Submit Document         Price a document type and sub-type from the drop-down lists and then click "Browse" to select a document to uple         Type:       Please Select*         Sub-Type:       Please Select*         Document:       It comes         Submit Document       Extent         View Document       Extent         No Documents To Be Displayed       No Documents To Be Displayed						1. 2. 3. 4. 5. 6. 7.	Full name of the learner Qualification name, type and level Grade achieved (if applicable) Date achieved QAN number Candidate Number Web address	

https://lifetime.quartz-system.com/Classes/ViewLearnerHistory.aspx?Class=1278&Learner=28873 7

26/08/2014

### <u>Parnassus</u>

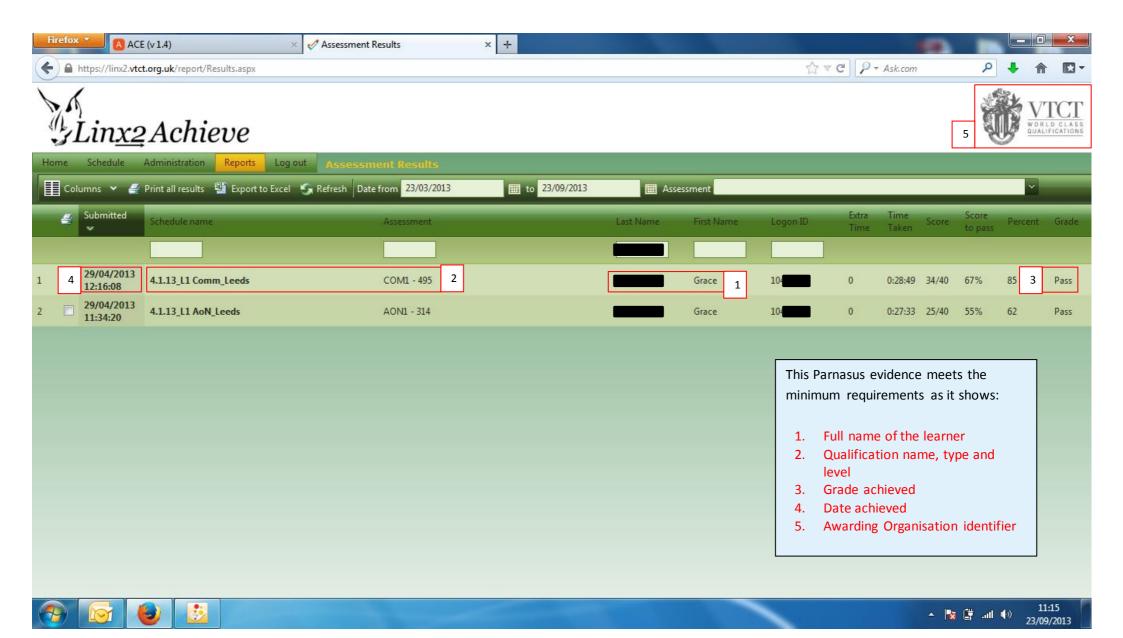
Parnassus is another of the larger certification systems used by Awarding Organisations. The Parnassus system can be adapted to suit the requirements of each user organisation but in principle they are all built in the same way.

Therefore, in principle, screen shots from the following Awarding Organisations will be accepted as part of an Apprenticeship Completion Certificate claim, providing that they meet the minimum stated data requirements\*: -

- 1<sup>st</sup> 4Sport
- ABBE
- ASA
- BIFM
- CIBTAC
- CYQ
- Future
- ISMM
- Logic Certification
- SkillsFirst
- VTCT

\*The **minimum** data requirements that must be included on any reports or screen shots from are:

- Full name of the learner
- Qualification name
- Level/grade achieved
- Date of achievement/award
- Awarding Organisation identifier



### **On-going Developments**

### Peer-to-Peer Data Transfer

The Federation is continuing to work in partnership with many Awarding Organisations to develop the possibility of direct data transfer between their management information systems and ACE. This is known as **peer-to-peer** data transfer.

The peer-to-peer transfer works on the basis that an Awarding Organisation will send the learner achievement data for NVQs, Diploma's and Functional Skills etc. straight to the ACE system where the relevant data fields in ACE will be auto populated. This will enable ACE users to see the achievement data required, whilst at the same time being assured of its origin, robustness and validity.

### **SOAP Transfer**

A trial is currently taking place on a process known as a **SOAP** transfer. This basic concept is that a PDF document can be sent directly in to ACE by de-coding it, sending it electronically and then re-creating it within the appropriate data field in ACE. The results of this trial will be shared, in due course.

In addition, the Federation is currently actively engaged with the Skills Funding Agency to facilitate a direct data link to the information input to the Learning Record Service (LRS) database by Awarding Organisations. This information, which is used to populate individual Personal Learning Records (LRS), could then be accessed to facilitate an auto-populate of the relevant data fields within ACE.

## **Unique Learner Numbers**

A Unique Learner Number (ULN) is a unique 10 digit reference number which is assigned to all learners who have a PLR record created in the LRS database. Each ULN is issued, and held by the LRS Organisation Portal. The LRS Organisation Portal uses the number to index each learner's identity details and their education and training qualifications within the PLR.

The 10-digit ULN has been designed to ensure that no additional meaning can be inferred from its structure, for example, geographical location or level of learning. Its purpose is purely to provide a unique identifier for each individual's Personal Learning Record.

Learners will retain the same unique number for accessing their PLR throughout their lives. The number will stay the same regardless of their level of learning and irrespective of where they choose to participate in education, training and learning.

The ULN is growing in significance as, for the first time, this identifier will be used for all qualifications for individual learners from the age of 14 upwards. Previously, a separate identifier was used in schools (UCI) and then a separate ULN was issued at FE/HE level. This has caused confusion and through the use of a single identifier (the ULN) there will be greater unity across all learning arenas and facilitate easier access to an individual's learning records and reports.

### **Summary**

Although the preferred form of evidence is still the actual achievement certificate, all of the evidence examples contained within this guidance document may be used as robust, acceptable evidence when claiming for an Apprenticeship Completion Certificate on ACE. However, they must meet the **minimum** data requirements, as highlighted within this document. Where multiple qualifications are evidenced on the one document please indicate the qualification being evidenced.

If you have any questions or queries as to whether or not an evidence type that you have will be acceptable then please contact the relevant Certification Body **before** you submit a claim on ACE. A list of all of the Certification Body contacts details are available on the <u>ACE website</u>.

### **Appeals Process**

If you believe that a piece of evidence which you have submitted as part of an Apprenticeship Completion Certificate claim should be acceptable, based on the examples included in this guidance document and the general principles of the minimum acceptable requirements, but it has been rejected by the Certification Body then you do have the opportunity to appeal.

Full details of how to access the Appeals process, and when and how to appeal, are available on the <u>ACE</u> <u>website</u>.

# <u>Additional support,</u> guidance & information

### **Transferable Skills – Guidance Notes**

On the ACE website there are a number of other support documents to help you to make a successful Apprenticeship Completion Certificate claim on ACE. One of which is the Transferable Skills Guidance Document which gives further guidance and clarity on what is, and isn't acceptable, as evidence of an Apprentices achievement of the English, Maths and ICT requirements of their BP or SASE Apprenticeship. This, and other support materials, can be found under the <u>Knowledge Base</u> tab on the ACE website.

### ACE-IT

The Federation have developed a new online training tool which has been designed to inform and train ACE users in fully understanding the acceptable evidence requirements for making a successful Apprenticeship Completion Certificate claim on ACE.

As a brief overview, ACE-IT consists of a large database of example evidence, some of which will be acceptable and others not. Individual ACE users will register to use the tool and create themselves an ACE-IT account. Each online exercise will consist of a set of questions (approx. 15/20 per session) and users will be required to review each piece of evidence and decide whether or not it is acceptable within the specific context you will be given. If it is not acceptable, there will be a series of multiple choice answers to choose from explaining why the user thinks that the evidence is not acceptable. At the end of each exercise session users will be given their results and will have the opportunity to review any questions which were answered incorrectly and be given the correct reasons for the evidence being unacceptable. The questions presented in each session will be randomly selected from the ACE-IT database, so each session will be different. Users will be able to access the online tool as often as they like.

The initial version of ACE-IT will be launched in early September 2014 and will continue to be developed over the following months. All feedback on the tool will be welcomed and should be sent to ace@fisss.org.

### **Contact Us**

We will be continually reviewing and updating this document and would appreciate your help in doing this. If you have an evidence example that is not currently included within this document but you believe that it would be acceptable, based on the principles outlined in this document, then please send us a copy for our consideration. All evidence examples to be sent to <u>ace@fisss.org</u>

You can contact the Federation for further support and guidance using on the ACE website